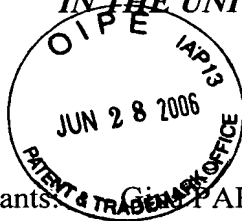


DPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



ATTORNEY DOCKET NO. 016778-0474

Applicants: GIARMAR, et al.

Title: MOBILE TELECOMMUNICATIONS SYSTEM

Appl. No.: 10/790,674

Filing Date: 03/03/2004

Examiner: Le, Danh C.

Art Unit: 2617

Confirmation Number: 2300

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application.

**CONCISE EXPLANATION OF RELEVANCE**

The documents listed on the attached PTO/SB/08 were cited as being relevant during the prosecution of the corresponding Japanese application. A partial English translation of the Japanese Office Actions of April 4, 2006, follows:.

(CITED LITERATURE)

1. International Publication WO 98/59513 pamphlet
2. Japanese Unexamined Patent Application Publication H11-75237

(!) Claims 1 through 5  
Cited Literature 1 and 2

Figure 2 of Cited Literature 1 presents a schematic of a communication system comprising a UMTS network base station 4, a GSM network base station 6, a core network (5, 7) connected to both base stations and a dual mode mobile station (MS) 3 capable of communication with both the UMTS network base station 4 and the GSM network base station 6, while Figure 4 presents a detailed execution scenario involving handover from the GSM network to the UMTS network. Figure 4 indicates that GSM-RAN (the GSM network wireless access network) forwards a handover command (HOCommand) to the mobile station (MS), the mobile station (MS) interprets the handover command, changes the cell and transmits an access test (HOaccess [sic]) to the UMTS-RAN (UMTS network wireless access network), i.e. initiates communication with the UMTS network.

Furthermore, in Cited Literature 1, the mobile station determines the cell (access point) to use next based on the received handover command. Forwarding a list of adjacent access points which can be used next, the service quality of each access point, etc from a base station to a mobile station in order to allow handover to be performed more efficiently is described in Cited Literature 2. (Claims 1 through 3)

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

**STATEMENT**

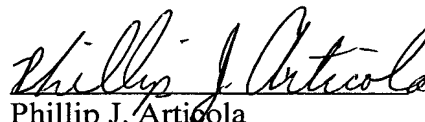
The undersigned hereby states in accordance with 37 CFR §1.97(e)(1) that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to filing of this Statement.

Although Applicant believes that no fee is required for this Request, the Commissioner is hereby authorized to charge any additional fees which may be required for this Request to Deposit Account No. 19-0741.

Respectfully submitted,

June 28, 2006  
Date

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Substitute for form 1449B/PTO  
**INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT**

Date Submitted: June 28, 2006

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number 10/790,674  
 Filing Date 03/03/2004  
 First Named Inventor Gina PARMAR, et al.  
 Group Art Unit 2617  
 Examiner Name Le, Danh C.  
 Attorney Docket Number 016778-0474

JUN 28 2006



**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	B1	6,510,146		KORPELA et al.	01-21-2003	

**UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Application Document		Name of Patentee or Applicant of Cited Document	Filing Date of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Serial Number	Kind Code <sup>2</sup> (if known)			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	B2	WO	98/59513		NOKIA MOBILE PHONES LTD.	12-30-1998		
	B3	JP	11-75237		NOKIA MOBILE PHONES LTD.	03-16-1999		

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	B4	"3 <sup>rd</sup> Generation Partnership Project 3GPP; Technical Specification Group (TSG) RAN; Working Group 2 (WG2); RRC Protocol Specification" TS 25.331 V1.0.0, pp. 21-22, April 1999.	

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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